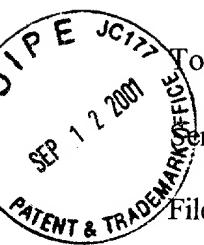


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of :
Tomoyuki OKADA et al. :
Serial No. 09/836,292 : **Attn: BOX MISSING PARTS**
Filed April 18, 2001 : Docket No. 2001-0453

INFORMATION RECORDING MEDIUM,
APPARATUS AND METHOD OF
RECORDING OR REPRODUCING
INFORMATION TO OR FROM THE
MEDIUM

COVER LETTER ACCOMPANYING VERIFIED ENGLISH TRANSLATION OF
APPLICATION ORIGINALLY FILED IN FOREIGN LANGUAGE AND
APPLICATION DECLARATION FILED AFTER APPLICATION FILING DATE

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

In response to the PTO Notice of June 12, 2001, a copy of which is enclosed, also enclosed is a verified English translation of the above application. Further, enclosed is the PTO surcharge of \$130.00 required by 37 C.F.R. 1.52(d).

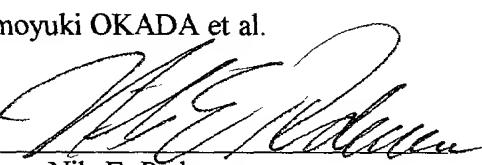
Further, enclosed is an application Declaration for the above application executed by the inventors. Also enclosed is the PTO surcharge of \$130.00 required by 37 C.F.R. 1.16(e).

Therefore, it is submitted that the application now is complete, and early issuance of a Filing Receipt is requested.

Respectfully submitted,

Tomoyuki OKADA et al.

By:



Nils E. Pedersen
Registration No. 33,145
Attorney for Applicants

NEP/krl

Washington, D.C. 20006-1021

Telephone (202) 721-8200

Facsimile (202) 721-8250

September 12, 2001

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 26-0876



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D C 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/836,292 | 04/18/2001 | Tomoyuki Okada | 2001-0453 |

000513
 WENDEROTH, LIND & PONACK, L.L.P.
 2033 K STREET N. W.
 SUITE 800
 WASHINGTON, DC 20006-1021

CONFIRMATION NO. 6901

FORMALITIES LETTER



OC000000006170862

Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

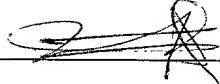
- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

09/14/2001 EABUBAK1 00000026 09836292

01 FC:103
 02 FC:105
 03 FC:138

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703 308 2629660

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of:

Tomoyuki OKADA et al.

Serial No.: 09/836,292

Filed: April 18, 2001 Attn: BOX MISSING PARTS

For: Information Recording Medium, Apparatus and Method for
Recording or Reproducing Data thereof

TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

I, Junichi KAWABATA, declare:

that I am thoroughly familiar with both the Japanese and English languages;

that the attached document represents a true English translation of U.S. Patent Application Serial No. 09/836,292 filed April 18, 2001; and

That I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: September 4, 2001



Junichi KAWABATA